

## **ATMUS FILTRATION TECHNOLOGIES**

### **DISCLOSURES PURSUANT TO THE CALIFORNIA TRANSPARENCY IN SUPPLY CHAINS ACT**

Atmus Filtration Technologies Inc. and its subsidiary, Cummins Filtration Inc. (collectively, "Atmus"), make the following statements pursuant to the California Transparency in Supply Chains Act.

#### **Verification of Product Supply Chain**

Atmus suppliers are required to acknowledge and adhere to the Atmus Supplier Code of Conduct (the "Supplier Code"), which includes a prohibition against child labor, forced or prison labor and human trafficking. Atmus suppliers are required to complete a Supplier Code of Conduct survey to confirm their compliance and alignment with the Supplier Code prior to engaging in any business with Atmus and on an annual basis. Atmus suppliers are advised that Atmus expects them to participate in required training and survey requests to verify compliance with the Supplier Code. Noncompliance or misrepresentation of compliance by a supplier may result in termination of the Supplier's contract or legal action.

#### **Supplier Audits**

To verify that suppliers remain in compliance with the Supplier Code, Atmus conducts an annual compliance audit of its top 80% suppliers (by spend) – including both direct and indirect suppliers. The audit process requires that Atmus supplier compliance leaders issue a Supplier Code compliance survey, monitor responses and take necessary actions to address supplier noncompliance, which may include the preparation of a compliance plan for the supplier at issue or a plan to cease business with the supplier.

Additionally, Atmus conducts an audit during visits of suppliers in the following regions: China, India, Brazil, Mexico, Turkey, Malaysia, Singapore, Thailand, Vietnam, Eastern European countries, and African regions of Angola, Botswana, Mozambique, South Africa, Zambia, Zimbabwe, Ghana, Nigeria and Senegal. Per Atmus policy, an annual risk assessment is conducted to determine which suppliers require on-site audits. The informal audit is conducted by Atmus personnel, who prepare a report of the audit findings. Any findings of noncompliance will be relayed to the appropriate Atmus personnel and addressed directly with the supplier. If any inappropriate behaviors or conditions viewed as systemic or critical are observed during the audit, the situation is reviewed with the Atmus Legal, Ethics and Compliance team for appropriate action.

## **Direct Supplier Certifications**

Atmus suppliers are required to acknowledge and adhere to the Atmus Supplier Code of Conduct (the "Supplier Code"), which includes a prohibition against child labor, forced or prison labor and human trafficking. Atmus suppliers are required to complete a Supplier Code of Conduct survey to confirm their compliance with the Supplier Code prior to engaging in any business with Atmus and on an annual basis.

Adherence to the Supplier Code is also included as a specific provision in the Atmus Purchase Order Standard Terms & Conditions (the "Terms"). The Terms also include a specific provision stating that all suppliers warrant and certify that none of the supplier's Products are or will be manufactured with child, indentured, forced or prison labor.

## **Internal Accountability Standards and Procedures**

The Atmus Code of Conduct (the "Atmus Code") provides that Atmus is committed to protecting human rights by prohibiting the use of child labor, forced or prison labor and human trafficking. Atmus also maintains a separate Human Rights Policy, which applies globally to employees of Atmus entities, including its subsidiaries. As set forth in the Human Rights Policy, Atmus and its employees:

- do not tolerate any form of forced labor, including slave or indentured labor, bonded labor, military labor, prison labor, and human trafficking,
- prohibit trafficking-related activities connected to our employment contracts and subcontracts,
- prohibit the use of child labor,
- comply with all local applicable laws and regulations related to wages, work hours, overtime, benefits, and working conditions,
- respect the freedom of association of our employees and recognize the right collective bargaining,
- respect the communities where we operate including the internationally recognized human rights of Indigenous Peoples; and
- responsibly source our materials and partner with suppliers who share our principles.

If an Atmus employee, contractor, or third party suspects or becomes aware of an actual or potential human rights abuse, Atmus' various speak up resources are available. As set forth in the Human Rights Policy, employees are encouraged to raise

concerns with their supervisor or business leader, Human Resources, Internal Audit, the Atmus Legal team, the Atmus Ethics & Compliance team, or by raising the concern to the Atmus Ethics Line. The Ethics Line is open to Atmus employees and third parties, such as suppliers, contractors, customers, and the general public. Atmus does not tolerate retaliation against anyone who raises a concern in good faith or cooperates with an investigation.

### **Training**

Atmus requires that all employees comply with the Atmus Code of Conduct, which includes provisions prohibiting forced or child labor. Atmus also provides Code of Conduct training, which is mandatory for all new Atmus employees and then required for all employees on an annual basis. The Code of Conduct Training includes a section entirely dedicated to human rights.